Fidelity

Name Change Form

Minimum Requirements

1. List account holder's information in Section 1
   a. First, Middle Initial, and Last Name of FORMER Name
   b. Social Security Number of account holder
   c. First, Middle Initial, and Last Name of NEW Name
2. Client must Print Name and physically sign and date in Section 2
3. Attach/Include a copy of a legal document showing your new name. For Example: Marriage License, Divorce Decree, Birth Certificate, Other Court Document showing your new name.
4. Form must be saved and sent with copy of documentation showing new name to scoperations@marinerwealthadvisors.com

Frequently Asked Questions

Q: I don't have any of the required legal documents to change my name, can I use my driver's license or passport to show my name?

A: Unfortunately, no. Fidelity requires one of the documents listed to make the change.

Q. Do I need to include an original copy of the legal document or will a copy work?

A: Generally, a copy will work as long as it is able to be read.

Q. Can I take a picture of my legal document and send it to you as proof?

A. No. Most custodians will not accept a picture of a legal document as proof. They prefer to have a physical photocopy.

Q: I'm a Jr., but my father is deceased, and I never use the Jr. on anything. Do I have to add it to my name on the account?

A: Yes. The custodians are required to check each client's personal information against government records. If your social security number is attached to an account with a Jr. on it, then we would need to use Jr. on your paperwork. Otherwise, the paperwork will be returned as not in good order (NIGO).