

Schwab

Transfer Form

Minimum Requirements

1. List account holder(s) information in Section 1
 - a. First, Middle Initial and Last Name
 - b. Type of Schwab Account and Schwab Account Number
 - c. Social Security Number attached to the account
2. List all information for account being transferred in Section 2
 - a. Name of Transferring Firm or Company
 - b. Contact Number for Transferring Firm or Company
 - c. Name as it appears on account at Transferring Firm or Company
 - d. Account number for account at Transferring Firm or Company
 - e. Type of account held at Transferring Firm or Company
 - f. Review Additional Documents or Requirements section and include documentation as needed
3. For Sections 3-6, complete only one section
 - a. Complete as much information in the chosen section as possible
 - b. If you are unsure which section to complete, please contact us for clarification
4. Client must Sign/Print Name and Date in Section 7.
5. Return completed and signed form **AND a copy of current statement for account being transferred** to scoperations@marinerwealthadvisors.com.

Frequently Asked Questions
