



Account Profile

To create client paperwork

Client Name:

Date: _____

Preferred Custodian Fidelity Schwab

Management Style Actively Managed ETF Only

Requested Paperwork Format DocuSign Email PDF Hard Copy

Legal Client Name(s)

Home/Legal Address:

Street (no P.O. Box) City State Zip

Mailing Address:

Street City State Zip

Household Net Worth (excluding primary residence) \$

New Client Information	Client/Trustee 1	Client/Trustee 2
Name		
Date of Birth		
SS# or Tax ID#		
Email (required)		
Mobile Phone Number (required)		
Citizenship	<input type="radio"/> United States <input type="radio"/> Other _____	<input type="radio"/> United States <input type="radio"/> Other _____
Employer Information	Retired <input type="radio"/> Yes <input type="radio"/> No	Retired <input type="radio"/> Yes <input type="radio"/> No
Occupation		
Employer Name		
Employer Address		
Employer City/State/Zip Code		
Employer Telephone Number		

New Account Information	Client/Trustee 1	Client/Trustee 2
Account 1 Account Type Funding Source		
Account 2 Account Type Funding Source		
Account 3 Account Type Funding Source		
Account 4 Account Type Funding Source		
Account 5 Account Type Funding Source		

Additional Account Information

Primary & Contingent Beneficiary Information	<i>(Must be completed for all retirement/TOD accounts)</i>			
Beneficiary Name(s)	Relationship	SS#	Date of Birth	Percentage
P C				
P C				
P C				
P C				

Trust Information (if applicable - please provide full Trust document)	
Trust	
Effective Date of Trust	
Trust EIN	

Additional Statements (if applicable)		
<i>If applicable</i>	<input type="checkbox"/> Third Party Administrator <input type="checkbox"/> Duplicate Statements	<input type="checkbox"/> Third Party Administrator <input type="checkbox"/> Duplicate Statements
Company Name		
Contact Person		
Address		
City/State/Zip Code		
Telephone Number		

Consultant / Solicitor Information	
Name(s)	
Firm Name	
Address	
City/State/Zip Code	
Telephone Number	

Fee Split (if applicable)	
Consultant/Solicitor Name	% Split

Advisory Fee Schedule (Max Total Client Fee 1.50%)		
Fees listed below are for: <input type="radio"/> Advisor Only <input type="radio"/> Total Client Fee		
Fees Structure: <input type="radio"/> Tiered Fee Schedule	OR	<input type="radio"/> Flat Fee Schedule
% Annual fee on first \$250,000 (\$0 - \$250,000)		% Annual fee on all assets
% Annual fee on next \$250,000 (\$250,001 - \$500,000)		
% Annual fee on next \$500,000 (\$500,001 - \$1,000,000)		
% Annual fee on next \$4,000,000 (\$1,000,001 - \$5,000,000)		
% Annual fee on assets > \$5,000,000		

Tiered fees are calculated on a cumulative, ladder-based percentage of assets.

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