Account Profile

To create client paperwork

Client Name:

____

____

Date: ______________

Mariner WEALTH ADVISORS

Preferred Custodian ❍ Fidelity ❍ Schwab

Management Style ❍ Actively Managed ❍ ETF Only

Requested Paperwork Format ❍ DocuSign ❍ Email PDF ❍ Hard Copy

Legal Client Name(s)

Home/Legal Address:

Street (no P.O. Box) City State Zip

Mailing Address:

Street City State Zip

Household Net Worth (excluding primary residence) $

New Client Information	Client/Trustee 1	Client/Trustee 2
Name		
Date of Birth		
SS# or Tax ID#		
Email (required)		
Mobile Phone Number (required)		
Citizenship	❍ United States ❍ Other ___________	❍ United States ❍ Other ___________
Employer Information	Retired ❍ Yes ❍ No	Retired ❍ Yes ❍ No
Occupation		
Employer Name		
Employer Address		
Employer City/State/Zip Code		
Employer Telephone Number		

New Account Information		Client/Trustee 1	Client/Trustee 2
Account 1	Account Type		
	Funding Source		
Account 2	Account Type		
	Funding Source		
Account 3	Account Type		
	Funding Source		
Account 4	Account Type		
	Funding Source		
Account 5	Account Type		
	Funding Source		

Additional Account Information

Primary & Contingent Beneficiary Information	(Must be completed for all retirement/TOD accounts)			
Beneficiary Name(s)	Relationship	SS#	Date of Birth	Percentage
P C				
P C				
P C				
P C				

Trust Information (if applicable – please provide full Trust document)	
Trust	
Effective Date of Trust	
Trust EIN	

Additional Statements (if applicable)		
If applicable	☐ Third Party Administrator ☐ Duplicate Statements	☐ Third Party Administrator ☐ Duplicate Statements
Company Name		
Contact Person		
Address		
City/State/Zip Code		
Telephone Number		

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Consultant / Solicitor Information	
Name(s)	
Firm Name	
Address	
City/State/Zip Code	
Telephone Number	

Fee Split (if applicable)	
Consultant/Solicitor Name	% Split

Advisory Fee Schedule (Max Total Client Fee 1.50%)		
Fees listed below are for: ❍ Advisor Only ❍ Total Client Fee		
Fees Structure: ❍ Tiered Fee Schedule	OR	❍ Flat Fee Schedule
% Annual fee on first $250,000 ($0 - $250,000)		% Annual fee on all assets
% Annual fee on next $250,000 ($250,001 - $500,000)		
% Annual fee on next $500,000 ($500,001 - $1,000,000)		
% Annual fee on next $4,000,000 ($1,000,001 - $5,000,000)		
% Annual fee on assets > $5,000,000		

Tiered fees are calculated on a cumulative, ladder-based percentage of assets.