

Account Profile

To Create Client Paperwork

Preferred Custodian: ☐ Fidelity ☐ Schwab _____
Management Style: ☐ Actively Managed ☐ ETF Only (Max Fee 0.85%) _____ Date _____

Requested Paperwork Format: ☐ DocuSign ☐ Email PDF ☐ Hard Copy

Client 1 Legal Name: _____

Client 2 Legal Name: _____

Home/Legal Address:
Street (no P.O. Box) _____ City _____ State _____ Zip _____

Mailing Address:
Street _____ City _____ State _____ Zip _____

Household Net Worth (excluding primary residence): \$ _____

Are clients or an immediate family member associated with or employed by a stock exchange or member firm of an exchange or FINRA, or a municipal securities broker-dealer? ☐ Yes ☐ No

Are clients a director, 10% shareholder or policy-making officer of a publicly held company? ☐ Yes ☐ No

New Client Information	Client/Trustee 1	Client/Trustee 2
Name		
Date of Birth		
Social Security or Tax ID No.		
Email (required)		
Mobile Phone Number (required)		
Citizenship ☐ U.S. ☐ Other _____ ☐ U.S. ☐ Other _____		
Employer Information	Retired ☐ Yes ☐ No	Retired ☐ Yes ☐ No
Occupation		
Employer Name		
Employer Address		
Employer City/State/Zip Code		
Employer Telephone Number		

New Client Information		Client/Trustee 1	Client/Trustee 2
Account 1	Account Type Funding Source		
Account 2	Account Type Funding Source		
Account 3	Account Type Funding Source		
Account 4	Account Type Funding Source		
Account 5	Account Type Funding Source		

Additional Account Information

Primary & Contingent Beneficiary Information (must be completed for all retirement/TOD accounts)				
Beneficiary Name(s)	Relationship	Social Security No.	Date of Birth	Percentage
P				
C				
P				
C				
P				
C				
P				
C				

Trust Information (if applicable – please provide full trust document)
Trust
Effective Date of Trust
Trust EIN

Additional Statements (if applicable)
☐ Third-Party Administrator
☐ Duplicate Statements
Company Name
Contact Person
Address
City/State/Zip Code
Telephone Number

Consultant/Solicitor Information

Name(s)

Firm Name

Address

City/State/Zip Code

Telephone Number

Fee Split (if applicable)

Consultant/Solicitor Name

% Split

Advisory Fee Schedule (Max Total Client Fee 1.50%)

Fees listed below are for: ☐ Advisor Only ☐ Total Client Fee

Fee Structure: ☐ Tiered Fee Schedule **OR** ☐ Flat Fee Schedule

% Annual fee on first \$250,000
(\$0 - \$250,000)

% Annual fee on all assets

% Annual fee on next \$250,000
(\$250,001 - \$500,000)

% Annual fee on next \$500,000
(\$500,001 - \$1,000,000)

% Annual fee on next \$4,000,000
(\$1,000,001 - \$5,000,000)

% Annual fee on assets > \$5,000,000

Tiered fees are calculated on a cumulative, ladder-based percentage of assets.

For more information visit: partnerwithmariner.com

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