MARINER

Account Profile

To Create Client Paperwork

Preferred Custodian: ☐ Fidelity ☐ Schwab

Management Style: ☐ Actively Managed ☐ ETF Only (Max Fee 0.85%)

______________________ Date

Requested Paperwork Format: ☐ DocuSign ☐ Email PDF ☐ Hard Copy

Client 1 Legal Name: ______________________

Client 2 Legal Name: ______________________

Home/Legal Address:
Street (no P.O. Box) ______________ City ______________ State __________ Zip __________

Mailing Address:
Street ______________ City ______________ State __________ Zip __________

Household Net Worth (excluding primary residence): $ ______________________

Are clients or an immediate family member associated with or employed by a stock exchange or member firm of an exchange or FINRA, or a municipal securities broker-dealer? ☐ Yes ☐ No

Are clients a director, 10% shareholder or policy-making officer of a publicly held company? ☐ Yes ☐ No

New Client Information	Client/Trustee 1	Client/Trustee 2
Name		
Date of Birth		
Social Security or Tax ID No.		
Email (required)		
Mobile Phone Number (required)		
Citizenship	☐ U.S. ☐ Other ______________	☐ U.S. ☐ Other ______________
Employer Information	Retired ☐ Yes ☐ No	Retired ☐ Yes ☐ No
Occupation		
Employer Name		
Employer Address		
Employer City/State/Zip Code		
Employer Telephone Number		

New Client Information		Client/Trustee 1	Client/Trustee 2
Account 1	Account Type Funding Source		
Account 2	Account Type Funding Source		
Account 3	Account Type Funding Source		
Account 4	Account Type Funding Source		
Account 5	Account Type Funding Source		

Additional Account Information

Primary & Contingent Beneficiary Information (must be completed for all retirement/TOD accounts)

Beneficiary Name(s)	Relationship	Social Security No.	Date of Birth	Percentage
P **C**				
P **C**				
P **C**				
P **C**				

Trust Information (if applicable – please provide full trust document)
Trust
Effective Date of Trust
Trust EIN

Additional Statements (if applicable)

☐ Third-Party Administrator
☐ Duplicate Statements

Company Name	
Contact Person	
Address	
City/State/Zip Code	
Telephone Number	

Consultant/Solicitor Information
Name(s)
Firm Name
Address
City/State/Zip Code
Telephone Number

Fee Split (if applicable)	
Consultant/Solicitor Name	**% Split**

Advisory Fee Schedule (Max Total Client Fee 1.50%)			
Fees listed below are for: ☐ Advisor Only ☐ Total Client Fee			
Fee Structure:	☐ Tiered Fee Schedule	**OR**	☐ Flat Fee Schedule
	% Annual fee on first $250,000 ($0 - $250,000)		% Annual fee on all assets
	% Annual fee on next $250,000 ($250,001 - $500,000)		
	% Annual fee on next $500,000 ($500,001 - $1,000,000)		
	% Annual fee on next $4,000,000 ($1,000,001 - $5,000,000)		
	% Annual fee on assets > $5,000,000		

Tiered fees are calculated on a cumulative, ladder-based percentage of assets.

For more information visit: **partnerwithmariner.com**